Inflammation in Alzheimer's Disease: Do Sex and APOE Matter?

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Abstract. 13

- Background: Alzheimer's disease (AD) disproportionately affects females with steeper cognitive decline and more neu-14
- ropathology compared to males, which is exacerbated in females carrying the APOE &4 allele. The risk of developing AD 15
- is also higher in female APOE &4 carriers in earlier age groups (aged 65–75), and the progression from cognitively normal 16
- to mild cognitive impairment (MCI) and to AD may be influenced by sex. Inflammation is observed in AD and is related to 17
- aging, stress, and neuroplasticity, and although studies are scarce, sex differences are noted in inflammation. 18
- Objective: The objective of this study was to investigate underlying physiological inflammatory mechanisms that may help 19 explain why there are sex differences in AD and APOE ɛ4 carriers. 20
- Methods: We investigated, using the ADNI database, the effect of sex and APOE genotype (non-carriers or carriers of 1 21
- and 2 APOE £4 alleles) and sex and diagnosis (cognitively normal (CN), MCI, AD) on CSF (N = 279) and plasma (N = 527) 22
- markers of stress and inflammation. 23
- Results: We found CSF IL-16 and IL-8 levels were significantly lower in female non-carriers of APOE ɛ4 alleles compared 24
- to males, whereas levels were similar between the sexes among carriers of APOE &4 alleles. Furthermore, females had on 25
- average higher levels of plasma CRP and ICAM1 but lower levels of CSF ICAM1, IL-8, IL-16, and IgA than males. Carrying 26
- APOE ɛ4 alleles and diagnosis (MCI and AD) decreased plasma CRP in both sexes. 27
- Conclusion: Sex differences in inflammatory biomarkers support that the underlying physiological changes during aging 28 differ by sex and tissue origin.
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- Keywords: Alzheimer's disease, APOE genotype, cytokines, inflammation, sex differences 30

¹Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (https://adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: https://adni.loni.usc.edu/wp-content/ uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

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INTRODUCTION 31

Alzheimer's disease (AD) is a neurodegenerative 32 disease characterized by severe cognitive decline [1]. 33 Risk factors for AD include modifiable risk factors 34 such as sociocultural or lifestyle factors (e.g., educa-35 tion, marital status, exercise), chronic stress exposure 36 [2], and medical conditions (diabetes, obesity, and 37 cardiovascular disease) [3-5]. Non-modifiable life-38 time risk factors for AD include age, female sex, and 39 APOE genotype [6]. However, research on the effects 40 of biological sex on risk for AD is equivocal and may 41 depend on geographic location (reviewed in [4, 7, 42 8]). Nevertheless, females with AD show greater cog-43 nitive decline [9-11] and neuropathology compared 44 to males (faster brain atrophy rates, neurofibrillary 45 tangles; [10, 12–15]). Intriguingly, the presence of 46 APOE ε 4 alleles increases the risk to develop AD in 47 females compared to males at an earlier age (aged 48 65-75; [16]), and accelerates neuropathology and 49 cognitive decline more so in females than in males 50 [10, 11, 14, 17–19], indicating that the APOE geno-51 type interacts with sex on various factors related to 52 AD. However, there is limited research into the role 53 of sex and its interaction with APOE genotype in the 54 possible mechanisms underlying AD. Understanding 55 why females in general and female APOE ε 4 carriers 56 have a higher burden of the disease is important for 57 the development of tailored treatments. Biomarkers 58 are highly sought after to predict disease onset and 59 progression and to understand the underlying mech-60 anisms of diseases in order to develop or improve 61 treatments. 62

Chronic low-grade inflammation is a hallmark of 63 AD, as evidenced by increased expression of proin-64 flammatory cytokines in the brains of AD patients 65 (not analyzed by sex), which can exacerbate AD 66 pathology [20–22]. There is, however, increasing 67 evidence that there are sex differences in immune 68 responses in healthy adults with females mount-69 ing a stronger response compared to males after an 70 acute challenge [23, 24]. In response to an endo-71 toxin, females have higher levels of pro-inflammatory 72 plasma cytokines (TNF- α and IL-6), while males 73 have higher plasma levels of anti-inflammatory IL-10 74 [23, 25]. In addition, aging affects the immune system 75 differently in males and females, with females having 76 higher genomic activity for adaptive cells and males 77 having activity for monocytes and inflammation [26]. 78 Although limited, there is evidence that sex differ-79 ences in systemic inflammation are associated with 80 greater AD pathology [27] but not cognitive decline 81

in normal aging [28]. Specifically, higher C-reactive protein (CRP) levels in blood beginning in midlife are associated with higher brain amyloid levels later in life in healthy males, but not in healthy females [27]. To our knowledge, very few studies have stratified by sex and APOE genotype or sex and diagnosis of cognitive status on potential biomarkers of AD, including inflammation.

Sex differences in inflammatory biomarker systems may also differentially affect neuroplasticity [29, 30], which is reduced in AD and correlates with cognitive decline [31, 32]. In addition, peripheral cortisol, the main stress hormone in humans, is elevated in AD [33] and is associated with higher amyloid levels in the brain [34], a reduction in hippocampal volume, and cognitive impairment in older individuals [35] that may depend on mild cognitive impairment (MCI) status [36]. Peripheral cortisol is also associated with elevated pro-inflammatory cytokines [23, 37]. However, it is not known how sex differences in markers of inflammation (e.g., cytokines, immunoglobulins, CRP, intercellular adhesion molecule, ICAM1), and stress hormones (cortisol) may be related to sex differences in AD.

Using the ADNI database, we conducted exploratory analyses examining sex differences in cerebrospinal fluid (CSF) and plasma physiological biomarkers, inflammation and stress related, and how these may be affected by APOE genotype (noncarriers or carriers of APOE ɛ4 alleles), and dementia status (cognitively healthy (CN), MCI, AD). We tested the hypothesis that females have higher levels of inflammation and stress hormones compared to males and these levels are disproportionately affected by the presence of APOE ε 4 alleles and AD diagnosis.

METHODS

ADNI database

Data used in the preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (https://adni. loni.usc.edu). The ADNI was launched in 2003 as a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary goal of ADNI has been to test whether serial magnetic resonance imaging (MRI), positron emission tomography (PET), other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of MCI and 82

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early AD. For up-to-date information, see https:// 130 www.adni-info.org. Data used in this article were 131 downloaded on or before January 16, 2019. Inclusion 132 and exclusion criteria of participants [38, 39] were 133 the same for the two datasets analyzed in the current 134 study (biomarkers in CSF and plasma), and gen-135 eral procedures are detailed online (https://adni.loni. 136 usc.edu/methods/documents/). Briefly, CN partic-137 ipants had normal memory function based on 138 education-adjusted scores on the Wechsler Memory 139 Scale Logical Memory II and a Clinical Dementia 140 Rating (CDR) of 0. Amnestic late MCI (LMCI) par-141 ticipants had objective memory loss (measured by 142 education-adjusted scores from Wechsler Memory 143 Scale Logical Memory II), a CDR of 0.5, preserved 144 daily activities, and absence of dementia. All AD par-145 ticipants met NINCDS/ADRDA Alzheimer's Criteria 146 and a CDR of 0.5 or 1.0. 147

To address our research questions, we used 148 two separate datasets from the ADNI database: 149 CSF biomarkers and plasma biomarkers (Table 1). 150 Although the datasets do not overlap completely, 151 within the plasma-CSF datasets there is an overlap 152 of 85% (i.e., 85% of individuals with CSF biomarker 153 data also had plasma levels of biomarkers). This 154 is an exploratory study of these variables on sex 155 by APOE genotype and sex by diagnosis and we 156 discuss the limitation of these overlapping datasets 157 below. 158

159 Statistical methods: Inflammatory markers

We included all ADNI participants that had inflam-160 matory markers measured in CSF (N = 279) and 161 plasma (N = 527) listed in Table 1. Data included in 162 our analyses were: demographics (age, years of edu-163 cation, and ethnicity), baseline diagnosis (cognitively 164 normal, CN; late MCI, LMCI; or AD), and number 165 of APOE $\varepsilon 4$ alleles. We collapsed APOE genotype 166 into two groups: 1) participants carrying any ɛ4 alle-167 les (homozygous $\varepsilon 4/\varepsilon 4$ and heterozygous $\varepsilon 4/-$) and 168 2) participants with no $\varepsilon 4$ risk alleles (-/-). Plasma 169 and CSF samples from the ADNI study were col-170 lected in CN, LMCI, and AD participants at baseline 171 in the morning after an overnight fast. Processing, 172 aliquoting, and storage were performed according 173 to the ADNI Biomarker Core Laboratory Standard 174 Operating Procedures. Inflammatory markers were 175 measured using a commercially available multiplex 176 proteomic panel (Human Discovery Multi-Analyte 177 Profile; Luminex xMAP) developed by Rules-Based 178 Medicine (Austin, TX), that measures a variety of 179

markers including cytokines, metabolic markers, and growth factors. We initially chose biomarkers available in plasma involved in inflammation and immune responses (cytokines, immunoglobulins, CRP, and ICAM1) and stress (cortisol; Table 2). We analyzed the same biomarkers in CSF (however, IgE and IL-18 are not available in CSF). The protocols used to quantify plasma and CSF analytes are described in Craig-Schapiro et al. [40] and Hu et al. [41]. We used the ADNI quality-controlled data for plasma and CSF provided by the ADNI Consortium. For plasma IL-16, we removed one outlier that was more than two times lower than the 25th percentile in the plasma data. Sensitivity analysis with the outlier present suggested that it was disproportionately influencing the results.

We compared all available data for each study variable between the sexes using the Wilcoxon rank sum test for continuous variables and Fisher's exact test for categorical variables. Nonparametric tests are standard for comparing variables where the distribution is unknown or expected to be non-normal. We used general linear models to determine the relationships between 1) sex and APOE genotype (non-carriers or carriers of APOE ε 4 alleles) or 2) sex and baseline diagnosis as predictor variables, and biomarkers as dependent variables. Due to the limited sample size, we were not able to study sex, APOE genotype, and baseline diagnosis in one model. All models included age and education as covariates. Initially, all models included an interaction between sex and presence of APOE ε 4 alleles or sex and baseline diagnosis; if this interaction was not significant, it was removed from the model to estimate the main effects of sex and APOE genotype or diagnosis. Significance was based on the likelihood ratio test, and all p-values for comparisons of sex and either APOE genotype or diagnosis for all outcomes combined were corrected for multiple testing using the Benjamini-Hochberg false discovery rate method with the family-wise error rate set to 0.05 [42]. In total, three p-values per dependent variable were included in each set of models (interaction term and main effects of sex and APOE or diagnosis) resulting in 27 p-values corrected in CSF (9 dependent variables) and 33 p-values corrected in plasma (11 dependent variables; Supplementary Tables 1-4) for each of the two models (sex and APOE and sex and diagnosis). Significant interaction terms were followed up using pairwise simple-effects tests with Benjamini-Hochberg p-value correction. A subset of participants with CSF measurements

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Table 1

Demographic and clinical information for all ADNI participants subdivided by sex. Participants with measured biomarkers in (A) cerebrospinal fluid (CSF) and (B) plasma. We collapsed *APOE* genotype into two groups: (1) participants carrying any ε 4 alleles (homozygous ε 4/ ε 4 and heterozygous ε 4/-) and (2) participants with no ε 4 risk alleles (-/-). In the two subdata sets, females were significantly younger and had fewer years of education than males. In data set A (but not B), there was a trend for the proportion of female and male participants in each of the diagnosis to be different (p = 0.051) with more females (27.5% compared to 21.8%) diagnosed with AD, more females cognitively normal (32.1% compared to 22.9%), and fewer females diagnosed with LMCI compared to males (40.4% compared to 55.3%). The proportion of female and male participants carriers and non-carriers of *APOE* ε 4 alleles was not significantly different in any of the two datasets analysed. 85% of individuals with CSF biomarkers (A) had also plasma biomarkers (B). CN, cognitively normal; LMCI, late mild cognitive impairment; AD, Alzheimer's disease

		A. CS	F			B. Plas	sma	
			Sex			Sex		
	Total No. 279	Female No. 109	Male No. 170	Р	Total No. 527	Female No. 196	Male No. 330	Р
Age								
Mean (SD)	75.15 (±6.86)	73.75 (±6.69)	76.04 (±6.83)	0.007	74.75 (±7.40)	73.79 (±7.63)	75.32 (±7.21)	0.051
Education (y)								
Mean (SD)	15.69 (±2.95)	14.68 (±2.74)	16.34 (±2.90)	< 0.0001	15.57 (±3.04)	14.94 (±2.89)	15.95 (±3.07)	< 0.0001
Ethnicity								
White	267 (95.70%)	103 (94.50%)	164 (96.47%)	0.55	498 (94.68%)	186 (94.90%)	312 (94.55%)	0.27
Not White ^l	12 (4.30%)	6 (5.50%)	6 (3.53%)		28 (5.32%)	10 (5.10%)	18 (5.45%)	
Baseline diagnosis								
CN	74 (26.5%)	35 (32.1%)	39 (22.9%)	0.051	40 (7.6%)	19 (9.7%)	21 (6.4%)	0.16
LMCI	138 (49.5%)	44 (40.4%)	94 (55.3%)		378 (71.9%)	132 (67.3%)	246 (74.5%)	
AD	67 (24.0%)	30 (27.5%)	37 (21.8%)		108 (20.5%)	45 (23.0%)	63 (19.1%)	
APOE ε 4 allele number								
0	134 (48.03%)	51 (46,79%)	83 (48.82%)	0.81	243 (46.20%)	90 (45.92%)	153 (46.36%)	0.93
1 or 2	145 (51.97%)	58 (53.21%)	87 (51.18%)		283 (53.80%)	106 (54.08%)	177 (53.64%)	
Cortisol (ng/mL)								
Mean (SD)	16.05 (±6.04)	14.92 (±6.01)	16.78 (±5.96)	0.008	2.17 (±0.13)	2.16 (±0.13)	2.17 (±0.13)	0.16
C reactive protein (ug/mL)								
Mean (SD)	-2.83 (±0.56)	$-2.77 (\pm 0.64)$	-2.87 (±0.51)	0.23	0.12 (±0.54)	0.21 (±0.55)	0.07 (±0.52)	0.003
CD40 antigen (ng/mL)				141				
Mean (SD)	-0.65 (±0.12)	-0.66 (±0.10)	-0.64 (±0.14)	0.12	-0.12 (±0.13)	-0.12 (±0.13)	-0.12 (±0.14)	0.87
Interleukin 16 (pg/mL)			_					
Mean (SD)	0.91 (±0.18)	0.87 (±0.17)	0.94 (±0.19)	0.004	2.55 (±0.15)	2.54 (±0.15)	2.55 (±0.16)	0.34
Interleukin 3 (ng/mL)								
Mean (SD)	-2.22 (±0.32)	-2.28 (±0.29)	$-2.17 (\pm 0.34)$	0.001	$-1.65 (\pm 0.29)$	-1.65 (±0.29)	$-1.65 (\pm 0.30)$	0.97
Interleukin 6 receptor (ng/mL)								
Mean (SD)	-0.01 (±0.15)	-0.02 (±0.14)	-0.00 (±0.15)	0.30	1.46 (±0.14)	1.48 (±0.14)	.45 (±0.13)	0.02
Interleukin 8 (pg/mL)								
Mean (SD)	1.68 (±0.15)	1.64 (±0.11)	1.70 (±0.16)	0.001	1.02 (±0.19)	1.02 (±0.21)	1.01 (±0.18)	0.1
Intercellular adhesion molecule 1 (ng/mL)								
Mean (SD)	0.96 (±0.44)	0.83 (±0.33)	1.04 (±0.48)	0.0001	2.01 (±0.15)	$2.04(\pm 0.14)$	2.00 (±0.15)	0.03
Immunoglobulin A (mg/mL)								
Mean (SD)	$-2.54(\pm 0.31)$	$-2.68 (\pm 0.26)$	-2.45 (±0.31)	< 0.0001	0.61 (±0.23)	0.60 (±0.23)	0.62 (±0.22)	0.21

p-values are from Wilcoxon rank sum tests for continuous variables and Fisher's exact tests for categorical variables. Hncludes self-reported Black, Asian, American Indian/Alaskan, and >1 ethnicity.

Table 2

List of biomarkers analysed in the current study with their main biological function and main finding in the CSF and plasma. Main effects of sex (sex difference), APOE ε 4 genotype (non-carriers), and diagnosis (CN, cognitively normal; LMCI, late mild cognitive impairment; AD, Alzheimer's disease) and interaction between sex and APOE ε 4 genotype (sex * APOE ε 4 genotype) are shown. Significant effects are adjusted $p \le 0.05$ and trends are adjusted $p \le 0.08$. See results for details. n/a, not available

Biomarker	Biological function	Results in CSF	Results in Plasma
Cortisol	Stress hormone and inflammation	Sex difference (trend): ♀ < ♂	Diagnosis: LMCI < AD
Intercellular adhesion molecule 1	Immune response, immunoglobulin family	Sex difference: ♀<♂	Sex difference: ♀>♂
C-reactive protein	Inflammation	APOE ε4 genotype: non-carriers > carriers	Sex difference: $\varphi > \sigma^*$
			APOE ɛ4 genotype:
			non-carriers > carriers
			Diagnosis (trend): CN > LMCI and
			Diagnosis (trend): LMCI < AD
CD40 antigen	Immune and inflammatory responses		
Interleukin 3	Immune and inflammatory responses		2
Interleukin 6 receptor	Immune and inflammatory responses	APOE ε4 genotype (trend): non-carriers < carriers	
Interleukin 8	Immune and inflammatory	Sex * APOE ε4 genotype:	
	responses	non-carriers $Q < \mathcal{O}$ carriers $Q = \mathcal{O}$	
Interleukin 16	Immune and inflammatory	Sex * $APOE \varepsilon 4$ genotype:	Diagnosis (trend): CN>LMCI
Immunoglobulin A	responses	non-carriers $Q < \sigma$ carriers $Q = \sigma$ Say difference: $0 < \sigma^2$	CN > AD
minulogioounn A	responses	Sex unterence: $q < 0$	
Interleukin 18	Immune and inflammatory	n/a	Sex difference: ♀<♂
	responses		
Immunoglobulin E	Immune and inflammatory responses	n/a	Sex difference: ♀<♂

had corresponding plasma measurements (N = 237232 total, N = 88 females and N = 149 males). For each 233 biomarker, we calculated Pearson's correlation coef-234 ficients between CSF and plasma levels in males and 235 females separately. We then compared these correla-236 tions using the Fisher r-to-Z transformation and Z-test 237 using the method by Zou [43]. We report significance 238 differences (adjusted p < 0.05) and trends (adjusted 239 $p \le 0.08$). All regression analyses were carried out in 240 R v3.5.1 [44]. 241

242 RESULTS

243 Demographic information

Table 1 gives a summary of the variables for 244 the participants with: CSF biomarkers (Table 1A; 245 N = 279), plasma biomarkers (Table 1B; N = 527). 246 Given the differences in sample sizes, we performed 247 demographic analyses on the two datasets. Females 248 were younger than males in the CSF (p < 0.01) and 249 plasma data set (p=0.051). In the two datasets, 250 females had fewer years of education than males 251

(ps < 0.0001). Thus, we used age and education level as covariates in the analyses. Although there were no sex differences in distribution of *APOE* ε 4 alleles in any of the two datasets (all ps > 0.4), the proportion of participants in each of the diagnosis categories was marginally different for females and males in the CSF dataset (p = 0.051; Table 1A) but not in the plasma dataset (p > 0.1; Table 1B).

Sex and presence of APOE ε 4 alleles were associated with changes in inflammatory markers

Our first aim was to investigate whether sex and *APOE* genotype interact to influence inflammation using biomarkers, which we analyzed separately in CSF and plasma (Supplementary Tables 1 and 3, respectively). Caution should be noted as inflammatory signaling can differ depending on tissue examined [45, 46].

For inflammatory markers measured in CSF, only IL-16 and IL-8 elicited a significant interaction between sex and *APOE* genotype (p=0.016 and p=0.035, respectively; Table 3). CSF IL-16 and

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Linear regressio	n results fo	or mo	dels with	n sex and A	POE §	genotyl	oe (non-carri	ers or	carriers	of 1 or 2 AI	POE E	4 allele	s). p-values	are for	overall	tests and an	E FDR	-adjuste	d. Only sh	own a	re the
	mode	els w	ith signil	icant associ	iations	s (adjus	ted $p \leq 0.05$) and tr	ends (ac	ijusted $p \le 0$.08)	All mod	el summari	es are a	vailable	in Supplem	entary	Table 1			
Predictors	Cortisc	ol Cort	isol	C React	tive Prot	tein	Inte	rleukin		Interleukir	1 6 recep	tor	Interl	eukin 8		Immunog	lobulin ⊿		Intercellub	ar Adhes	ion
	Ű	lm gi		n	lm/g1		16	pg/ml		ng	/ml		b§	g/ml		mg	/ml		Molecu	le ng/ml	
	Estimates	Ρ	Adjusted	Estimates	b	Adjuste	d Estimates	b	Adjusted	Estimates	Ρ /	Adjusted	Estimates	Ρ	Adjusted	Estimates	Ρ /	djusted	Estimates	P A	djusted
	(CI)		р	(CI)		р	(CI)		р	(CI)		р	(CI)		р	(CI)		р	(CI)		р
(Intercept)	1.43			-3.03			0.42			-0.27			1.33			-2.91			-0.23		
	(-7.00 - 9.87)	_		(-3.842.23)	~		(0.15 - 0.68)			(-0.480.06)			(1.11-1.54)		<u>_</u>	-3.352.48)		÷	-0.83 - 0.38		
Age (y)	0.22			0.01			0.01			0.00			0.00			0.00			0.01		
	(0.12 - 0.32)			(-0.00-0.02)			(0.00-0.01)			(0.00-0.01)			(0.00-0.01)			(-0.00-0.01)		Ū	(0.01 - 0.02)		
Education (y)	-0.21			-0.01			-0.01			-0.00			0.00			0.00			-0.00		
	(-0.45 - 0.03)			(-0.03 - 0.02)			(-0.01-0.00)			(-0.01 - 0.00)			(-0.00-0.01)			(-0.01 - 0.01)		÷	-0.02-0.02)		
Male (ref=Female)	1.72	0.022	2 0.07	-0.11	0.126	0.22	0.13			0.02	0.372	0.5	0.09	<0.001		0.21	<0.001	<0.001	0.18	0.001	0.009
	(0.25 - 3.19)			(-0.25 - 0.03)			(0.07 - 0.19)			(-0.02 - 0.05)			(0.04-0.14)			(0.14 - 0.29)		Ū	(0.07 - 0.28)		
APOE4 1 or 2 alleles	0.82	0.24	0.35	-0.22	0.001	0.009	0.08			0.04	0.025	0.071	0.03	0.261		-0.00	0.898	0.97	0.08	0.128	0.22
(ref=0 alleles)	(-0.55-2.19)			(-0.350.09)			(0.01 - 0.14)			(0.00-0.07)			(-0.02 - 0.09)			(-0.07 - 0.07)		÷	-0.02 - 0.18)		
Interaction: Male by							-0.13	0.003	0.016				-0.09	0.008	0.035						
1 or 2 alleles		-					(-0.220.05)	0.003	0.016			-	-0.160.02)	0.008	0.035						
Observations	279			279			279			279			279			279			279		
R ² /adjusted R ²	0.095/0.082)	0.055/0.042	1		0.122/0.106			0.046/0.032			0.092/0.076			0.123/0.111)	0.105/0.092		

IL-8 levels were significantly lower in females noncarriers of APOE ɛ4 alleles compared to males (both ps < 0.001), whereas levels were similar between the sexes in carriers of APOE $\varepsilon 4$ alleles (ps > 0.9; Fig. 1A, B). Furthermore, in females with APOE $\varepsilon 4$ alleles, IL-16 was significantly higher than in non-APOE $\varepsilon 4$ female carriers (p = 0.050), while a trend was observed in males (p=0.062). Whereas for IL-8, males with APOE ε 4 alleles had lower levels of IL-8 compared to males with no APOE ε 4 alleles (p=0.014) but there was no difference in females (p>0.3). Regardless of sex, CSF CRP levels were lower in carriers of APOE ɛ4 alleles compared to noncarriers (main effect of genotype: p = 0.009; Table 3; Fig. 1C). There was a trend for an increase in IL-6 receptor levels in APOE ε 4 carriers regardless of sex compared to non APOE ɛ4 carriers (main effect of genotype: p = 0.071; Table 3). Lastly females had significantly lower CSF levels of IgA and ICAM1 and a trend for lower CSF cortisol levels compared to males (main effect of sex: p < 0.001; p = 0.009, and p = 0.070, respectively; Table 3). There were no other significant main or interaction effects on any other CSF biomarkers.

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For biomarkers measured in plasma, there were no significant interactions between sex and APOE genotype (Supplementary Table 3). However, females had higher plasma CRP levels (main effect of sex: p = 0.048; Fig. 1D) and ICAM1 (trend for a main effect of sex: p = 0.051) compared to males and significantly lower levels of IL-18 (main effect of sex: p = 0.001; Fig. 1E) and immunoglobulin E (IgE: main effect of sex: p < 0.001; Fig. 1F) compared to males. Furthermore, plasma CRP decreased in carriers of APOE ɛ4 alleles compared to non-carriers (main effect of genotype: p < 0.001; Fig 1 D).

Sex and baseline diagnosis were associated with changes in inflammatory markers

We next tested whether sex and baseline diagnosis status (CN, LMCI, and AD) influenced CSF and plasma biomarkers of inflammation (Supplementary Tables 2 and 4, respectively). There were no significant interactions between sex and diagnosis for any of the tested variables in CSF (Table 4 and Supplementary Table 2) or plasma (Supplementary Table 4). For CSF levels, females had significantly lower levels of IgA (main effect of sex: p < 0.001) and ICAM1 (main effect of sex: p = 0.026) and a trend for lower IL-16 levels (main effect of sex: p = 0.055) compared to males (Table 4; Fig. 2A-C), but we did not observe

Table 3





Table 4

Linear regression results for models with sex and baseline diagnosis (CN, cognitively normal; LMCI, late mild cognitive impairment; AD, Alzheimer's disease). Only shown are the models with significant associations (adjusted $p \le 0.05$) and trends (adjusted $p \le 0.08$). p-values are for overall tests and are FDR-adjusted. All model summaries are available in Supplementary Table 2. There were no significant interactions between diagnosis and sex

Predictors	Interleukin	16 pg/r	nl	Immunoglobul	in A mg	/ml	Intercellular Molecule	Adhesi ng/ml	ion
	Estimates (CI)	Р	Adjusted p	Estimates (CI)	Р	Adjusted p	Estimates (CI)	Р	Adjusted p
(Intercept)	0.51 (0.26-0.77)			-2.92 (-3.352.48)			-0.19 (-0.80-0.42)		
Age (y)	0.01 (0.00-0.01)			0.00 (-0.00-0.01)			0.01 (0.01-0.02)		
Education (y)	-0.01 (-0.01-0.00)			0.00 (-0.01-0.01)			-0.00 (-0.02-0.02)		
Male (ref = Female)	0.06 (0.02-0.11)	0.007	0.055	0.21 (0.14-0.29)	< 0.001	< 0.001	0.17 (0.06-0.28)	0.002	0.026
Diagnosis (ref = CN)		0.4	0.61		0.99	0.99		0.54	0.67
LMCI	0.01 (-0.05-0.06)			0.00 (-0.08-0.09)			0.06 (-0.06-0.18)		
AD	-0.03 (-0.09-0.03)			-0.01 (-0.11-0.09)			0.02 (-0.12-0.16)		
Observations	279			279			279		
R ² / adjusted R ²	0.099/0.082			0.124/0.107			0.101/0.085		

any significant main effects of diagnosis for any CSF variable.

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In plasma, we found that females had lower levels of IgE (main effect of sex: p < 0.001) and IL-18

compared to males (main effect of sex: p = 0.004) and trends for females to have higher levels of ICAM1 (main effect of sex: p = 0.056) and CRP (main effect of sex: p = 0.056; Fig. 2D) compared to males. In



Fig. 2. Marginal mean (\pm 95% confidence interval) of CSF levels of A) IL-16 (pg/ml), B) IgA (mg/ml), C) Intercellular adhesion molecule (ICAM1; ng/ml), and plasma levels of D) C-reactive protein (CRP; μ g/ml), E) cortisol (ng/ml), and F) IL-16 in ADNI participants by sex and diagnosis (CN, cognitively normal; LMCI, late mild cognitive impairment; and AD, Alzheimer's disease).

addition, we found diagnosis significantly influenced 331 plasma cortisol (main effect of baseline diagnosis: 332 p = 0.01) with lower levels in LMCI compared to AD 333 (p < 0.001; Fig. 2E). We found trends for diagnosis to 334 influence plasma IL-16, CRP, and CD 40 levels (main 335 effect of diagnosis: p = 0.054, p = 0.056; p = 0.067). 336 Plasma IL-16 (ps = 0.006) and CRP (p = 0.006 and 337 p = 0.02) levels were lower in LMCI and AD com-338 pared to CN (Fig. 2D, F). For plasma CD 40, levels 339 were lower in LMCI compared to AD (p=0.01;340 Supplementary Table 4). In summary, although we 341 detected associations between sex and diagnosis and 342 various biomarkers, we did not find evidence of 343 a sex and diagnosis interaction on any variables 344 examined. 345

Correlations between cerebrospinal and plasma levels of biomarkers were mostly positive

The results for inflammatory markers in plasma did not always match results in CSF (Supplementary Tables 2 and 4). We therefore investigated the relationship between plasma and CSF biomarkers

in males and females (Table 5, Fig. 3). Per-352 haps surprisingly, we found the majority of 353 biomarkers were significantly positively correlated 354 between plasma and CSF levels in both males 355 and females. These significant positive correlations 356 included CRP (males, r=0.793; females r=0.860; 357 ps<0.0001), IL-6 receptor (males, r = 0.459; females 358 r = 0.493, ps < 0.0001), IgA (males, r = 0.705; 359 females r = 0.529; ps < 0.0001), and cortisol in both 360 sexes (males, r = 0.176; females, r = 0.327; p = 0.032361 and 0.002, respectively). IL-16 was significantly cor-362 related in females (r = 0.290, p = 0.006) but only a 363 trend in males (r = 0.156, p = 0.058). Plasma and CSF 364 levels of ICAM1 and CD 40 were positively corre-365 lated in males only (r = 0.231, p = 0.005 and r = 0.374,366 p < 0.0001, respectively) whereas plasma and CSF 367 IL-3 levels were negatively correlated in females only 368 (r=-0.246, p=0.021; Fig. 3). There were significant 369 sex differences, favoring males, in the strength of cor-370 relation between the sexes for CD 40 (p=0.01) and 371 IgA (p = 0.03), with trends for sex differences, favor-372 ing males in ICAM1 (p = 0.06) and favoring females 373 in IL-3 (p = 0.06). 374 Pearson's correlations between plasma and CSF levels of the biomarkers analysed in the current study separetly in males and females. Differences in the correlations were determined using confidence intervals. Significant correlations and differences between correlations are $\frac{p \le 0.05 \text{ and trends are } p \le 0.08}{\text{Correlation (r) in } P \text{ Difference (95\% CI) } P}$

Table 5

	Males $n = 149$	P	Females $n = 88$	P	Difference (95% CI)	P
Cortisol	0.176	0.032	0.327	0.002	-0.151 (-0.388-0.101)	0.24
C reactive protein	0.793	< 0.0001	0.860	<0.0001	-0.067 (-0.149-0.019)	0.12
CD40 antigen	0.374	< 0.0001	0.016	0.88	0.358 (0.103-0.606)	0.01
IL-16	0.156	0.058	0.290	0.006	-0.134 (-0.376-0.121)	0.30
IL-3	0.001	0.989	-0.246	0.021	0.247 (-0.016-0.493)	0.06
IL-6 receptor	0.459	<0.0001	0.493	<0.0001	-0.034 (-0.232-0.179)	0.75
IL-8	0.138	0.093	0.287	0.007	-0.149 (-0.392-0.107)	0.25
IgA	0.705	<0.0001	0.529	<0.0001	0.176 (0.012-0.361)	0.03
ICAM1	0.231	0.005	-0.021	0.849	0.252 (-0.011-0.507)	0.06



Fig. 3. Correlations between plasma and CSF levels of A) CD 40, B) ICAM1, C) IL-3, and D) IgA in males and females separately. CD 40 and ICAM1 were positively correlated in males while IL-3 was negatively correlated in females. IgA was more strongly correlated in males compared to females (see Table 5 for details).

375 DISCUSSION

In the present study using ADNI data from CN, LMCI, and AD participants we found interactions between sex and *APOE* genotype (but not between sex and diagnosis) on CSF and plasma levels of IL-8 and IL-16 (see Table 2 for summary of the results). CSF levels of IL-8 and IL-16 were on average lower in female *APOE* ε 4 non-carriers compared to males but similar levels were found between the sexes in *APOE* ε 4 allele carriers. Regardless of sex, the *APOE* ε 4 allele was associated with decreased levels of CSF and plasma CRP. Sex differences were seen in inflammatory markers, regardless of diagnosis or genotype, as females had lower CSF cytokines (IL-16, IL-18), CSF ICAM1, CSF and plasma immunoglobulins (IgA, IgE), and plasma IL-18. However, tissue (CSF, plasma) mattered for

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results for certain inflammatory markers (ICAM1 and 302 to a lesser extent CRP) as females had higher plasma 393 CRP and ICAM1 compared to males, opposite to 394 what was found in CSF. Despite these differences in 395 outcomes between plasma and CSF biomarker analy-396 ses, plasma and CSF levels were positively correlated 397 for cortisol, CRP, IL-6 receptor, IgA in both sexes, 308 whereas IL-16, and IL-8 were correlated in females 399 and CD 40 and ICAM1 were correlated in males, indi-400 cating good consistency between CSF and plasma 401 levels of these biomarkers. Intriguingly, IL-3 stood 402 out from all these biomarkers with a negative cor-403 relation between CSF and plasma levels in females 404 only. Males exhibited significantly stronger correla-405 tions between plasma and CSF levels for CD 40 and 406 IgA compared to females. Sex and APOE genotype 407 differences in CSF and plasma inflammatory mark-408 ers suggest differences in underlying physiology that 409 may affect aging and the progression of AD and this 410 should be considered in future studies. Researchers 411 should be cautioned to use sex as a biological variable 412 in all analyses. 413

Sex interacted with presence of APOE ε4 alleles to affect levels of IL-8 and IL-16

In this study, we found that sex interacted with 416 APOE genotype to influence CSF IL-16 and IL-8. 417 CSF IL-16 and IL-8 levels were lower in females 418 with no APOE ε 4 alleles compared to males, but no 419 sex differences in these cytokine levels were detected 420 in participants carrying APOE ε 4 alleles. Our results 421 suggest that presence of APOE ɛ4 alleles can mod-422 ulate CSF (and potentially plasma) cytokine levels 423 in a sex-dependent way. The APOE protein can reg-424 ulate transcription in vitro [47] and APOE4, but not 425 APOE3, increases levels of IL-6 and IL-8 in vitro [48]. 426 In the current study, we found that the sex differences 427 in IL-16 and IL-8 levels disappeared in carriers of 428 APOE $\varepsilon 4$ alleles. One possibility is that the APOE4 429 protein regulates cytokine levels differently in males 430 and females. IL-16 has been implicated in AD [49] 431 and plasma IL-16 levels decreased with diagnosis (in 432 males and females; current study) and AD severity 433 (analysis without regard to sex; [50]). On the other 434 hand, levels of IL-8 were not affected by diagnosis, 435 consistent with a meta-analysis of cytokines in AD 436 [22]. It is unclear what the impact of regulation of 437 CSF cytokine levels by sex and APOE ɛ4 has on AD 438 symptoms or pathology, however given that females 439 with APOE ε 4 alleles are disproportionally affected 440 by AD during certain ages [16, 18], IL-16 and IL-8 441

levels are unlikely to be a mechanism for this effect 442 as differences in sex by genotype were noticed in the 443 absence not presence of *APOE* ε 4 alleles. 444

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Females had higher CRP levels compared to males and CRP levels were lower in APOE $\varepsilon 4$ carriers

We found that plasma and CSF levels of CRP, a 448 widely used inflammatory and cardiovascular marker 449 [51, 52], were independently affected by sex and 450 APOE genotype. Females, regardless of diagnosis 451 or APOE $\varepsilon 4$ alleles, had significantly higher plasma 452 CRP relative to males, consistent with findings in 453 healthy individuals [53]. Higher levels of peripheral 454 CRP may suggest higher systemic inflammation in 455 females, which is associated with an increased risk 456 in all-cause dementia [54]. Higher levels of serum 457 CRP are also associated with higher levels of serum 458 estradiol in postmenopausal healthy females [55] 459 which suggests that sex differences in CRP levels 460 may be partly due to sex differences in estradiol lev-461 els or other sex hormones. A recent study using the 462 ADNI database found that low testosterone levels 463 was associated with higher tau pathology especially 464 among APOE ɛ4 carriers, regardless of sex, suggest-465 ing that testosterone maybe neuroprotective in both 466 sexes [56]. In addition, we found that the presence 467 of APOE ɛ4 alleles decreased plasma and CSF CRP 468 levels consistent with previous research in large pop-469 ulation studies [57, 58]. In our study, we also found 470 a trend for lower levels of plasma CRP with LMCI 471 and AD compared to CN. Recent meta-analyses did 472 not find differences in peripheral levels of CRP in 473 AD compared to healthy controls [59, 60]. How-474 ever, in participants with mild and moderate dementia 475 only, serum CRP levels were lower compared to the 476 cognitively healthy group [59]. In healthy individ-477 uals, higher levels of plasma CRP in midlife are 478 associated with a higher amyloid burden later in 479 life in males but not females [27]. However, despite 480 this finding, higher systemic inflammation in midlife 481 (including CRP) is associated with greater cognitive 482 decline later in life in both sexes in healthy individu-483 als [28]. It is important to acknowledge evidence that 484 midlife obesity, but not later life obesity, is associated 485 with an increased risk to develop dementia [61, 62], 486 which may be related to altered inflammation (e.g., 487 cytokines and CRP) due to the accumulation of adi-488 pose tissue [63, 64]. It is possible that sex differences 489 in inflammation and/or obesity earlier in life have 490 long-term effects on the transition to MCI and/or AD. 491

Females had lower cytokine and immunoglobulin levels compared to males

We found some biomarkers that were affected 494 by sex, but not diagnosis or presence of APOE ɛ4 495 alleles. For example, females had lower CSF levels 496 of ICAM1 compared to males, regardless of APOE 497 genotype or diagnosis, but, although a trend, the 498 opposite effect was seen in plasma. In contrast, in 499 healthy adults (18-55 years old), serum levels of 500 ICAM1 are lower in females compared to males 501 [65]. ICAM1 is a type of adhesion molecule asso-502 ciated with microvascular endothelial activation [66] 503 and plasma ICAM1 levels (but not CSF levels; [67]) 504 were higher in patients with AD [67-69]. Although 505 in the present study we did not observe a signif-506 icant effect of plasma ICAM1 with diagnosis, the 507 unadjusted *p*-value was 0.063 with higher levels in 508 LMCI and AD groups. It is intriguing that females 509 have lower CSF levels of cytokines (IL-16, IL-18), 510 ICAM1, and immunoglobulins (IgE and IgA) but 511 higher plasma CRP and ICAM1 levels. Although 512 neuroinflammation is associated with AD, it may be 513 both a product and a driver of neurodegeneration, 514 and it may have both beneficial and detrimental roles 515 in AD [70, 71]. In AD mouse models, inflamma-516 tory cytokines (e.g., IL1β, IL-4, IL-6, IL-10, IFNγ, 517 TNF α) can both increase amyloid- β deposition and 518 reduce amyloid plaque pathology [72-80]. In trans-519 genic mice, amyloid deposition is associated with low 520 T-cell activation suggesting that the immune system is 521 hypo-responsive to amyloid- β [81]. Thus, increases 522 of inflammatory markers may not always be indica-523 tive of worse neuropathology or outcomes, but may 524 be contributing to reductions in AD neuropathology. 525 It is also possible that males and females have vary-526 ing levels of beneficial versus detrimental immune 527 responses which can differentially affect how the 528 disease progresses between the sexes. Indeed, we 529 found sex differences in the correlation between 530 CSF and plasma biomarkers (CD 40, IgA, ICAM1, 531 and IL-3), which suggests that plasma and CSF 532 levels may be regulated differently in males and 533 females. 534

535 Limitations

In this exploratory study, we used two separate ADNI datasets (CSF biomarkers and plasma biomarkers) with a large overlap of individuals (85%) but different sample sizes that resulted in differences in the demographics between the datasets and power across the datasets for the analyses conducted. Because of this, the proportion of *APOE* or diagnosis by sex could differ across these datasets. While the proportion of sex by *APOE* ε 4 carriers did not differ substantially between the datasets, the proportion of participants in each of the diagnosis groups was not similar across datasets causing differences in statistical power to detect the interaction term of diagnosis and sex. In addition, in this cohort the proportion of participants in the different *APOE* ε 4 allele groups was correlated with diagnosis (Supplementary Table 5). Thus, a larger cohort is required to test how sex, *APOE* genotype, and diagnosis interact together in one model.

More generally, the ADNI cohort is not ethnically or socioeconomically diverse, being mostly composed of self-reported white (only 12 individuals were not-white) and highly educated individuals (average 15.69 years of education). As AD incidence, prevalence, and age of onset varies by ethnicity [82–84] and education [85], our conclusions may not apply to more ethnically and socially diverse populations. In addition to sex, it is possible the underlying mechanisms of AD are different depending on ethnicity. Additionally, other pathologies in these participants, such as cancer, cardiovascular disease, smoking status, or obesity may have influenced inflammatory markers and limited our interpretations.

Conclusion

The current study provides evidence that sex and presence of *APOE* ε 4 alleles are associated with CSF levels of the inflammatory markers IL-16 and IL-8. We found sex differences indicating that females had lower cytokine and immunoglobulin levels but higher plasma CRP and ICAM1 levels compared to males, although the direction of the ICAM1 finding was tissue-dependent. Together, our work suggests that that presence of *APOE* ε 4 alleles can affect cytokine levels differently in males and females and the underlying pathophysiology of aging and AD may be tissue- and sex-specific.

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634 SUPPLEMENTARY MATERIAL

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